Regulations on Education and Research for PhD Programs

Developed by:

❖ office of Vice President for Research Affairs

2014
**Introduction:** This document has been prepared based on the *Postgraduate Educational and Research Protocol approved by the ministry of Health and Medical Education* and briefly explains about the regulations, and guides students through educational and research periods. The purpose of providing this document is to inform our students regarding what is expected of them during their program and also provides them with step-by-step guidance on how to choose a proposal, carry out thesis research, defend it and finally graduate.

In order to qualify for a degree, a PhD student must satisfy the general requirements of the Office of the TUMS-IC VP for Research Affairs and the Departmental requirements demanded by the related department.

The maximum time limit for completion of the PhD degree at TUMS-IC is 4.5 years from matriculation.

This period is divided into two phases:

1- *Educational phase*

2- *Research phase*

**Educational Phase:** This phase takes 3-5 semesters in which the students complete their educational courses. Also at this phase, the students have to choose a topic for the proposed thesis which they have to defend at the end of the program.

**Research Phase:** This phase starts after completion of the educational phase and approval of the proposal.
Note: before the research phase, the students have to take the Comprehensive Exam and pass it in order to enter the research phase.

According to the Top Programming Committee, the number of credits in a PhD program is as follows:

<table>
<thead>
<tr>
<th>Thesis Courses:</th>
<th>Min 16 Credits</th>
<th>Max 30 Credits</th>
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<tbody>
<tr>
<td>Total Educational and Research Courses:</td>
<td>Min 42 Credits</td>
<td>Max 50 Credits</td>
</tr>
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</table>

The process from choosing the proposal topic to the thesis defense is as follows:

I- **TO CHOOSE A SUPERVISOR**

The students must choose a supervisor by the end of the first semester. As long as the students have not chosen a supervisor, the head of the department will be acting as supervisor.

- **A research supervisor:**
  - must have at least a PhD or Clinical Doctorate or PhD by Research degree, and
  - must be at the Assistant Professor or Research Assistant Professor level, and
• must have at least 3 years of teaching or research experience in postgraduate level, and
• must have been supervisor for at least 2 master’s theses, OR advisor for 4 PhD theses.

**Maximum number of theses that can be supervised simultaneously by a supervisor:**

- Two PhD theses **OR**
- One PhD and three Master’s theses

**Note:** In exceptional circumstances, the School Postgraduate Studies Council can change these limits.

**II - TO CHOOSE ADVISORS:**

Based on supervisor's suggestion and approval by the School Postgraduate Studies Council, 1-3 advisors will be chosen from among the faculty members, or well known, outstanding researchers and professors.

- An advisor:

  • must have at least a PhD or Clinical Doctorate or PhD by research degree, and
must be at the Assistant Professor or Research Assistant Professor level, and
must have at least 3 years of teaching or research experience in postgraduate level, and
must have at least a PhD degree if not a faculty member

III – TO CHOOSE A THESIS SUBJECT:

The process for choosing the thesis subject is as follows:

1- The students must choose a subject for their thesis with the supervisor’s help sometime after the second semester and before the fourth semester, and then must announce the subject to the related department. 

Note: Any delay in this matter will cause prolongation of the study period, and may lead to expulsion from the program.

Important Advice:

- Before preparing the proposal, students should check with the Office of the TUMS-IC VP for Research Affairs to make sure that the subject has not been covered already; and also to ascertain that the supervisory candidate has not reached the maximum number of theses that can supervise.
Students must choose and announce their thesis subject before the deadline or they will be expelled from the program.

2- The students must complete the proposal form in accordance with the supervisor’s and advisor’s instructions, and then to submit it to the Research Council of the related department for review.

3- Then the proposal has to be approved by the Office of the TUMS-IC VP for Research Affairs.

IV- Proposal Approval

- The proposal must be submitted either to the Research Council of the Department or the Postgraduate Council and get approved by the council.
- Afterward, the proposal must go to the Department Head for possible correction and final approval.

The students must take the Comprehensive Exam and pass it before entering to the Research Phase.

- To develop and write the proposal with the supervisor’s help
- To submit the proposal to the Department Council and get approval
- To submit the proposal to the Research Deputy Office to get the School Research Council or Research Centers/institutes approval in order to obtain research funding for the thesis.
- To submit a copy of the approved proposal and the approval letter (from School Postgraduate Council) to the Office of
the TUMS-IC VP for Research Affairs for the proposal registration.

- To submit a summary of the thesis research according to the *thesis registration form* format to the *Office of the TUMS-IC VP for Research Affairs*.

V- TO PERFORM THESIS

- The students have to perform their thesis according to the schedule specified in the proposal and within the time limit.
- The students have to submit a written *Thesis Progress Report* to the thesis supervisor at least every 6 months.

After review and approval by the supervisor, the report will be submitted to the *School Postgraduate Council*. Afterward, a special meeting whose members are assigned by the council will assess the report with the presence of the supervisor.

VI- DEFENSE PROCESS:

1- After completion and writing of the thesis by the students and completion announcement by the research supervisor, at least one of the jury members has to approve the thesis as defendable.
2- Then, the related department will suggest a time for the thesis defense.
3- Eventually the suggested time for the defense has to be affirmed by the School Postgraduate Studies Council.

4- The student has to obtain the **Invitation Forms for the jury members** from the Office of the TUMS-IC VP for Research Affairs.

- The supervisor has to assess *scientific quality, validity and reliability* of the thesis and approve it in advance. Then, the supervisor will notify the **Department Council** or **School Postgraduate Studies Council** of his assessment.
- The students have to present their thesis in a *preliminary defense* to the panelists as well as other students.

5- After obtaining approval from the related department and involved professors and confirmation that the thesis has been written according to the **Thesis Writing Guidelines**, the **Research Deputy of the school** will authorize the defense.

6- Before the defense, the student must obtain one **journal article publication and one acceptance** (with his/her name as first or second author of the articles).
- The student must submit a summary of the above articles **with the university’s name printed on them**.

7- Selecting Jury Members
Jury (Thesis Committee) Members:

- Supervisor(s).
- Advisor(s).
- Four academic members from the related department/or Research Institutes being at least at the Assistant Professor or Research Assistant Professor level, and having minimum 3 years of teaching or research experience in postgraduate level.

**Note**: These 4 members are suggested by the department and will have to be approved by the School Postgraduate Council.

- A Representative from the Office of the TUMS-IC VP for Research Affairs.

8- The student has to submit the *unbound copy of the thesis* along with the *Thesis Evaluation Forms* to the jury members at least one week prior to the defense.

9- The student has to hold the defense session in front of the jury.

**VII- Thesis Evaluation and Marking Guidelines:**
1- The jury members will confidentially put the marks on the *Thesis Evaluation Forms* and submit them to the Office of the TUMS-IC VP for Research Affairs.

- *If the jury decides that the thesis needs corrections/modifications, the marks will be released to the student only when the necessary corrections/modifications have been done.*

2- The Office of the TUMS-IC VP for Research Affairs will announce the marks to the *VP for Educational Affairs.*

**Note1:** If the jury finds the thesis unacceptable, it will cancel the oral examination and require the student to correct/complete the thesis. After the thesis *correction/completion*, the student has to defend it at a time which the jury decides.

**Note 2:** By adding the thesis *correction* time, the total PhD completion period must not exceed the allowable time.
Marking Method:

<table>
<thead>
<tr>
<th>Mark</th>
<th>Rank</th>
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<tbody>
<tr>
<td>19 - 20</td>
<td>Excellent</td>
</tr>
<tr>
<td>18 - 18.99</td>
<td>Very good</td>
</tr>
<tr>
<td>16.5 - 17.99</td>
<td>Good</td>
</tr>
<tr>
<td>15 - 16.49</td>
<td>Satisfactory</td>
</tr>
<tr>
<td>Less than 15</td>
<td>Unsatisfactory</td>
</tr>
</tbody>
</table>

- The thesis mark will be noted on the student’s transcript; however, it **will not be calculated** into the student's GPA.
- The thesis mark is **calculated as** the average of marks given by at least 5 of the jury members.
1- The students must submit two HARD-BOUND copies of the thesis along with their Electronic Copies (in Word & PDF) to the library (Must mention TUMS-IC as the first affiliation on the Thesis).

2- The students must submit one HARD-BOUND copy of the thesis along with the resulting article (Must mention TUMS-IC as the first affiliation on the article) to the Office of the TUMS-IC VP for Research Affairs.

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